

# FINANCE (FIN)

## FIN 100 - Personal Financial Planning

All individuals encounter challenging financial decisions. This course will introduce a wide variety of topics, such as cash and debt management, credit rating, insurance policies, housing decisions, taxes, investments, retirement, and estate planning. In addition, students will apply financial planning principles to diverse populations.

Credits: 3

Term(s) Typically Offered: Offered Winter, Spring&Summer  
Thematic Thread(s): Cultural Literacy & Community Building, Conservation, Technology & Imagination, Institutions & Human Innovations, Transfer Thread Completion Course

## FIN 139 - Foundations of Academic Discovery

Foundations of Academic Discovery serves as the entry point to the Rock Integrated Studies Program. With its strong faculty-student interaction, the course promotes intellectual inquiry, critical and creative thinking, and academic excellence. Through varied content, the course introduces students to academic discourse and information literacy while exploring topics such as diversity and inclusion and global awareness. This course will set students along the path to becoming engaged with issues and scholarship important to a 21st century education while they learn about themselves and their place in the world.

Credits: 3

Term(s) Typically Offered: Offered as Needed  
Enrollment limited to students with a semester level of Freshman 1 or Freshman 2.  
Enrollment limited to students with the ROCK STUDIES 2 STUDENT or ROCK STUDIES STUDENT attributes.

## FIN 190 - Experimental

A unique and specifically focused course within the general purview of a department which intends to offer it on a "one time only" basis and not as a permanent part of the department's curriculum.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

## FIN 195 - Workshop

A workshop is a program which is usually of short duration, narrow in scope, often non-traditional in content and format, and on a timely topic.

Credits: 1-6

Term(s) Typically Offered: Offered as Needed

## FIN 198 - Selected Topics

A Selected Topics course is a normal, departmental offering which is directly related to the discipline, but because of its specialized nature, may not be able to be offered on a yearly basis by the department.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

## FIN 290 - Experimental

A unique and specifically focused course within the general purview of a department which intends to offer it on a "one time only" basis and not as a permanent part of the department's curriculum.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

## FIN 295 - Workshop

A workshop is a program which is usually of short duration, narrow in scope, often non-traditional in content and format, and on a timely topic.

Credits: 1-6

Term(s) Typically Offered: Offered as Needed

## FIN 298 - Selected Topics

A Selected Topics course is a normal, departmental offering which is directly related to the discipline, but because of its specialized nature, may not be able to be offered on a yearly basis by the department.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

## FIN 303 - Issues in Global Business

This course will focus on fundamental issues in the modern globalization of business. The topics covered will include the cultural environments in which business work, politics and law in global business, economic integration, global trade and investment, global finance, global operations and managing global businesses. A special emphasis will be placed on the challenges and opportunities presented to global businesses by the social, political and economic diversity encountered in the global marketplace.

Prerequisites: ECON 201<sup>D</sup> and ECON 202<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Every Term  
Thematic Thread(s): Citizenship & Social Problems, Cultural Literacy & Community Building, Conservation, Technology & Imagination, Institutions & Human Innovations, Transfer Thread Completion Course, United Stated in Global Context  
Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

## FIN 304 - Money and Banking

Emphasis is upon monetary policy, how it is implemented, the theories on which it is based, and the implication of this policy on our economy.

Prerequisite: ECON 201<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed  
Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

## FIN 318 - Intermediate Statistical Analysis

Statistical analysis encompassing multiple regression and correlation, ANOVA (simple and multivariate), goodness of fit, statistical decision analysis, and advanced forecasting techniques.

Prerequisites: ECON 219<sup>D</sup> or MGMT 219<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed  
Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 320 - Managerial Finance**

Capital budgeting, cost of capital, management of short and long term assets, mergers and financial reorganization.

Prerequisite: ACCT 209<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Fall, Winter, & Spring

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 321 - Investments**

Introduction to security markets and their operation; investment alternatives; fundamentals of investment analysis.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Fall, Spring, & Summer

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 322 - Bank Financial Management**

Principles of bank financial management including sources and uses of funds and the role of the bank in financial markets.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 323 - Financial Markets and Institutions**

Introduction to financial markets and institutions and to their role in financing business, consumers and government.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Fall, Winter, & Spring

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 326 - Intermediate Corporate Finance**

This course provides in-depth analysis of topics addressed in corporate finance and covers additional advanced topics including but not limited to real asset valuation, corporate governance, short-term financial management, and mergers and acquisitions.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Fall, Spring, & Summer

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 329 - Principles of Insurance**

A study of the basic principles of insurance and risk. Topics include property and liability insurance; the financial structure and organization of insurance business, and the different fields of insurance.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 340 - Real Estate Investment**

The study of the nature and scope of real estate investment, investment decision process and investment strategy, financial and risk analysis, tax implications, institutional real estate investment and real estate investment trust funds.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 341 - Entrepreneurial Finance**

Entrepreneurial finance is the application and adaptation of financial tools and techniques to the planning, funding, operation, valuation and harvesting of entrepreneurial ventures.

Prerequisite: FIN 320<sup>D</sup>

<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Spring Terms

Thematic Thread(s): Conservation, Technology & Imagination, Transfer Thread Completion Course

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 390 - Experimental**

A unique and specifically focused course within the general purview of a department which intends to offer it on a "one time only" basis and not as a permanent part of the department's curriculum.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 395 - Workshop**

A workshop is a program which is usually of short duration, narrow in scope, often non-traditional in content and format, and on a timely topic.

Credits: 1-6

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 398 - Selected Topics**

A Selected Topics course is a normal, departmental offering which is directly related to the discipline, but because of its specialized nature, may not be able to be offered on a yearly basis by the department.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 409 - Retirement and Estate Planning**

In-depth study of the tools and techniques available for use in retirement and estate planning from a personal financial planning perspective. Particular emphasis will be given to retirement cash flow analysis and planning as well as the transfer tax system inherent in estate planning and the methods available for estate management.

Prerequisites: FIN 321<sup>D</sup> and FIN 329<sup>D</sup> and ACCT 308<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 410 - Personal Financial Planning Seminar**

Synthesize the topics requisite to expertise in personal financial planning as explored principally through case studies. These topics include risk management, investment, insurance and tax planning, and retirement and estate planning.

Prerequisites: FIN 321<sup>D</sup> and FIN 329<sup>D</sup> and ACCT 308<sup>D</sup> and FIN 409<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 420 - Finance Seminar**

Capital budgeting, cost of capital, capital structure, working capital management and long-term financing.

Prerequisite: FIN 320<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Spring Terms

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 421 - Portfolio Theory**

Advanced treatment of investment concepts. In-depth analysis of Modern Portfolio Theory, Capital Asset Pricing Model (CAPM) and its extensions, options, interest options, future markets and precious metals.

Prerequisite: FIN 320<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Fall Terms

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 427 - International Financial Management**

International monetary systems, sources of funds, import and export financing; taxation of multinational corporations, foreign investment decisions; political risk; risk of foreign exchange losses; management of working capital.

Prerequisite: FIN 320<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered Fall & Spring Terms

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 430 - International Banking**

Internationalization of U.S. and foreign banks to meet the global financial needs of multi-national activities.

Prerequisites: FIN 320<sup>D</sup> and FIN 323<sup>D</sup>  
<sup>D</sup> Requires minimum grade of D.

Credits: 3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 450 - Internship**

Supervised placement and research in selected public and private agencies at appropriate institutions. Course listed as 1-12 credits, 3 credits are recommended.

Credits: 1-12

Term(s) Typically Offered: Offered Fall, Spring, & Summer

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 490 - Independent Study**

In-depth reading and/or research in an area of particular interest to the student, done with the guidance of a faculty member. Independent Study courses give students the opportunity to pursue research and/or studies that are not part of the university's traditional course offerings. Students work one on one or in small groups with faculty guidance and are typically required to submit a final paper or project as determined by the supervising professor.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 495 - Workshop**

A workshop is a program which is usually of short duration, narrow in scope, often non-traditional in content and format, and on a timely topic.

Credits: 1-6

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.

**FIN 498 - Selected Topics**

A Selected Topics course is a normal, departmental offering which is directly related to the discipline, but because of its specialized nature, may not be able to be offered on a yearly basis by the department.

Credits: 1-3

Term(s) Typically Offered: Offered as Needed

Students with a semester level of Freshman 1, Freshman 2 or Sophomore 1 may **not** enroll.